



CALL FOR PROFICIENT VOLUNTEERS FOR ENGAGEMENTS ARISING OUT OF MOU WITH CGA

INFORMATION RELEASE FOR IIA INDIA MEMBERS

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On Sept 14, 2016 The Institute of Internal Auditors-India (IIA India) signed an MOU with the Controller General of Accounts (CGA) to help them strengthen the internal audit activities by inter alia providing technical assistance (TA), Internal Audit activity support, training /capacity building. The MOU has been followed up with an Annual Action Plan & ongoing implementation meetings

To execute the **2016-17 ANNUAL ACTION PLAN** IIA India proposes to involve volunteers from the IIA India member base who are proficient in internal auditing to provide services as a consultant and/or training faculty. The MOU envisages areas of interest/cooperation that provide know how & support to internal audit activities of the CGA & central ministries and would probably take the following shape:-

1. Providing Technical Assistance (TA)

A) REGISTRATION OF CONSULTANT

Technical assistance will be provided by internal audit consultants who have PROFESSIONAL PROFICIENCY in either of the below

- **IPPF knowledge:** the IIA India member has sufficient exposure to the IIA International Professional Practices Framework (IPPF) and its implementation. The expertise would require providing assurance that the internal audit activity is being carried out in accordance with the international standards
- **Industry /area specialists:** the IIA India member has specific & demonstrable experience of that particular industry/area. For e.g. knowledge & practical experience of the road construction industry /watershed management area. The expertise would require documenting/reviewing the design of a process in use & making recommendations and preparing a risk register.
- **Software tool specialists:** the IIA India member has a minimum of two years' experience in using a particular software tool or a class of tools. For e.g. IDEA/ACL or a class of data extraction & analysis software.
- **Domain experts:** the IIA India member has expertise in internal audit areas which have extended themselves to become knowledge domains. For e.g. Risk based internal audit planning approach, investigations, anti-fraud risk assessments, IT security, social media, mobile security, etc.

- **Domain experts (data analytics)** : the IIA India member has expertise in data analytics preferably in the banking & financial services sector
- **Process Improvement:** the IIA member has strong capabilities leading to ideation, innovation & process improvement

To be considered for including his/her name in the consultants/trainers database for CGA engagements, the IIA India member can send his/her resume showing work experience (not more than one page) to contact@iiaindia.org with subject as "2016-17 CGA Consultant database." Do note this does not guarantee an engagement. The selection of consultant is by the CGA Office.

B.) Registration of engagements

The nature of engagements which the CGA Office has informed IIA India is:

- **Utilisation of expertise for the conduct of Risk based Internal Audit of schemes (Social sector & infrastructure development schemes)**

The industry experience should be on the overall industry environment, process documentation and the ability to delineate significant risks & controls. They would need to contribute in reviewing/preparing the risk register, planning the engagement, writing out internal audit procedures, reviewing reports, ensuring proper audit documentation, doing capacity building (skilling the staff through on the job through training),etc. The time spent will carry a modest honorarium as fixed by the CGA for Internal Audit consultants/trainers. The benefit to a consultant is their exposure to very large size-of-scale projects/programs and interacting with the senior policy setters & decision makers.

2. Capacity Building:

The nature of trainings which the CGA Office has informed IIA India is:

- Half day workshops for senior officers
- 5 day training programmes for group of junior audit officers
- Conduct of CIA certification course for batch of officers

3. Speaking in Conferences

The nature of trainings which the CGA Office has informed IIA India is:

- Day long seminar on the basic theme of strengthening the internal audit function in Govt.

Single Point of Contact

IIA India's Single Point of Contact (**SPOC**) on matters relating to the CGA is Nikhel Kochhar who can be contacted as under:

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In case clarification pls contact the undersigned

Sincerely,

Sd/-

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